

**Merchant & Gould**

An Intellectual Property Law Firm

3200 IDS Center  
80 South Eighth Street  
Minneapolis, Minnesota  
55402-2215 USA  
TEL: 612.332.5300  
FAX 612.332.9081  
[www.merchant-gould.com](http://www.merchant-gould.com)

A Professional Corporation

RECEIVED

MAY 03 2002

**Fax Transmission**

May 3, 2002

Time

PCT SPECIAL  
PROGRAMS OFFICE

TO: Assistant Commissioner for Patents  
Attn: EXAMINER TRAN  
Patent Examining Corps  
Facsimile Center  
Washington, D.C. 20231

FROM: Douglas P. Mueller

OUR REF: 10921.101-US-WO  
TELEPHONE: 612.371.5237

Total pages, including cover letter: 5

PTO FAX NUMBER 703.305.2919

If you do NOT receive all of the pages, please telephone us at 612.332.5300, or fax us at  
612.332.9081

Title of Document Transmitted:

Re-transmittion of Previous fax dated March 22, 2002  
and attached documents: Response to Request for  
Missing Requirements, Photocopy of Notification of  
Missing Requirements

Applicant:

Araki, et al.

Serial No:

10/019810

Filed:

October 19, 2001

Group Art Unit:

10921.101USWO

Our Ref. No.:

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and  
Trademark Office on the date shown below.

Signature: Rebecca Webster

612-336-4779

May 3, 2002

Date

**Merchant & Gould**  
An Intellectual Property Law Firm

3200 IDS Center  
80 South Eighth Street  
Minneapolis, Minnesota  
55402-2215 USA  
TEL 612.332.5300  
FAX 612.332.9081  
[www.merchant-gould.com](http://www.merchant-gould.com)

**RECEIVED**

A Professional Corporation

**Fax Transmission** | March 22, 2002

Time:

TO: Assistant Commissioner for Patents  
Attn: Barbara A. Campbell  
Patent Examining Corps  
Facsimile Center  
Washington, D.C. 20231

MAY 03 2002

PCT SPECIAL  
PROGRAMS OFFICE

FROM: Douglas P. Mueller

OUR REF: 10921.101-US-WO  
TELEPHONE: 612.371.5237

Total pages, including cover letter: 4

PTO FAX NUMBER 703.305.3230

or

703.308.4785

If you do NOT receive all of the pages, please telephone us at 612.332.5300, or fax us at 612.332.9081

Title of Document Transmitted: Response to Request for Missing Requirements,  
Photocopy of Notification of Missing Requirements

Applicant: Araki, et al.

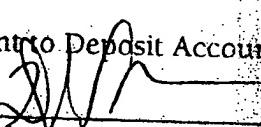
Serial No.: 10/019810

Filed: October 19, 2001

Group Art Unit:

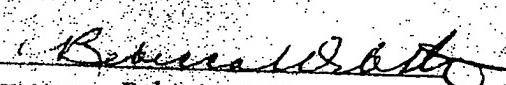
Our Ref. No.: 10921.101USWO

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725.

By: 

Name: Douglas P. Mueller  
Reg. No. 30,300

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

  
Signature: Rebecca Webster

March 22, 2002

Date

S/N 10/019810

#6

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Araki, et al.	Attn:	Campbell, Barbara
Serial No.:	10/019810	Docket No.:	10921.101USWO
Int'l Appln No.:	PCTJP02568	Filed:	October 19, 2001
Title:	AQUEOUS POLYAMIDE RESIN DISPERSION AND PROCESS FOR PRODUCING THE SAME		

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

*[Signature]*  
Signature: Rebecca Webster

March 22, 2002  
Date

**RESPONSE TO REQUEST FOR COMPLETION OF MISSING REQUIREMENTS**

BOX PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**

MAY 03 2002

PCT SPECIAL

U.S. PATENTS &amp; TRADEMARKS OFFICE

Dear Sir:

Applicant respectfully submits that the attached NOTIFICATION OF MISSING REQUIREMENTS dated March 4, 2002, has been applied in error to the present application, and as such requests that it be cancelled. The present matter concerns an aqueous resin dispersion and is not relevant to amino acid sequences. Therefore 37 CFR 1.821-1.825 is not applicable.

Applicant respectfully requests that an official communication acknowledging acceptance of this statement be forwarded to the undersigned.

Respectfully submitted,  
MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

By

*[Signature]*  
Douglas P. Mueller  
Reg. No. 30,300

Dated: March 22, 2002

DPM/rw



BGM-RW

## UNITED STATES PATENT AND TRADEMARK OFFICE

Correspondence for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20591  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/019,810	Eiichi Araki	I0921.101USWOV
BGM-RW		INTERNATIONAL APPLICATION NO
		PCT/JPO0/02568
LA FILING DATE		PRIORITY DATE
04/19/2000		04/21/1999
CONFIRMATION NO. 8818		
371 FORMALITIES LETTER		
 *OC000000007572490*		

*BPM*  
23552  
MERCHANT & GOULD PC  
P.O. BOX 2902  
MINNEAPOLIS, MN 55402-0902

MIS 2012 May 4 2002  
MIS 2013 IPTA June 4 2002 D/P RW  
MIS 2013 STAT Sept 4 2002

Date Mailed 03/04/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Assignee Statement
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

RECEIVED

MAY 03 2002

PCT SPECIAL  
PROGRAMS OFFICE

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(b).

The following items MUST be furnished within the period set forth below:

BGM

• The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

• **APPLICANT MUST PROVIDE:**

- An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

• For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2800
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin2@uspto.gov or patin3@uspto.gov

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5).

*A copy of this notice MUST be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/019,810	PCT/JP00/02568	10921.101USWO

FORM PCT/100/02905 (371 Formalities Notice)

Sample very stating  
that patent is not ready  
for filing.  
Date 7/13/2002  
7/13/2002